

1 **BEAKED AND KILLER WHALES SHOW HOW**

2 **COLLECTIVE PREY BEHAVIOUR FOILS ACOUSTIC PREDATORS**

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17 **ABSTRACT**

18 Animals aggregate to obtain a range of fitness benefits, but a common cost of
19 aggregation is increased detection by predators. Here we show that, in contrast to visual
20 and chemical signallers, aggregated acoustic signallers need not face higher predator
21 encounter rate. This is the case for prey groups that synchronize vocal behaviour but
22 have negligible signal time-overlap in their vocalizations. Beaked whales tagged with
23 sound and movement loggers exemplify this scenario: they precisely synchronize group
24 vocal and diving activity but produce non-overlapping short acoustic cues. They
25 combine this with acoustic hiding when within reach of eavesdropping predators to
26 effectively annul the cost of aggregation for predation risk from their main predator, the
27 killer whale. We generalize this finding in a mathematical model that predicts the key
28 parameters that social vocal prey, which are widespread across taxa and ecosystems,
29 can use to mitigate detection by eavesdropping predators.

30

31 INTRODUCTION

32 Vital functions such as courtship and foraging are mediated by acoustic signals in taxa
33 as diverse as humans and insects¹. However, sound-signallers must trade off the
34 benefits of detection by intended receivers against the costs of detection by
35 eavesdropping predators. Strategies for reconciling these conflicting selection pressures
36 remain largely unexplored for sound signals in stark contrast to the intensive study of
37 visual ecology². A common strategy of many prey is to aggregate to reduce risk of
38 predation via dilution or confusion effects^{3,4}. These benefits are partially offset by the
39 cost of larger aggregations being more detectable to predators from a distance^{3,5}, but the
40 maximum detection distance typically rises sub-linearly with group size. In chemically-
41 or visually-mediated systems the relation between group size and maximum detection
42 range scales with a power between 0.5 and 1^{6,7}, but a general relationship for the scaling
43 factor for acoustic cues has not been established. This is surprising given that collective
44 acoustic signalling is widespread in nature and chorusing has been observed in many
45 invertebrates, fish, amphibians, birds and mammals, in both terrestrial and aquatic
46 environments^{1,8}.

47 The intuitive expectation that a larger number of vocal prey will unavoidably enlarge
48 the acoustic detection range of a group may not always be true. In the case of chemical
49 cues, increasing group size enlarges detection distance because the higher concentration
50 of chemicals means that detection thresholds will be met at larger convective
51 distances⁶. Similarly, enlarged visual cues arising from prey aggregation increase
52 maximum detection ranges^{8,9}. In contrast, the acoustic source level of aggregated vocal
53 animals only increases if their sound cues overlap in time, similarly to intermittent and
54 short duty cycle (proportion of time that the signal is on) visual cues, such as the
55 flashes of non-synchronized fireflies¹⁰. Aggregated vocal individuals that are vulnerable

56 to predation should adopt strategies that maximise their cumulative effect on legitimate
57 receivers¹¹ but minimise reception by eavesdropping predators. Defining these
58 strategies and how they depend on the characteristics of the habitat and the functions of
59 vocal signals is essential to understand sound-mediated prey predator interactions that
60 are ubiquitous in nature.

61 Toothed whales provide an ideal case-study to investigate acoustic predator-prey
62 interactions given their reliance on active acoustic detection (echolocation) and passive
63 listening to hunt and sample their environment¹². Predation pressure from acoustic-
64 guided killer whales (*Orcinus orca*)¹³ has been proposed as an evolutionary driver for
65 the vocal behaviour of the multiple small toothed whale species that produce cryptic
66 high frequency calls, out of the main spectral band of sensitivity of killer whales:
67 Phocoenidae, Kogiidae, and species of genus *Cephalorhynchus*¹⁴. In contrast, larger
68 species forming tight social groups such as female-young sperm whales (*Physeter*
69 *microcephalus*)¹⁵ and pilot whales (*Globicephala* spp)¹⁶ seem to rely on social defences
70 to abate killer whale predation risk^{17,18}. This strategy is not practical for medium-sized
71 beaked whales (Ziphiidae)¹³ which form small social groups and suffer killer whale
72 predation in a wide latitudinal range^{13,19}. This source of mortality can be critical for
73 slow-reproducing beaked whales and thus may constitute a strong evolutive force on
74 the behaviour of these deep-diving species.

75 As in myriad other social animals, aggregation dilutes individual predation risk to
76 beaked whales. Killer whales, the main predator of beaked whales, seem to require the
77 combined efforts of several individuals to subdue a single whale prey^{13,19}, providing
78 opportunities for other beaked whales in the group to escape. But the net benefit of
79 aggregation would reduce if aggregated beaked whales are more detectable by killer
80 whales. Here we use novel biologging data from beaked whales to study how their

81 social behaviour affects encounter probability with killer whales. Beaked whales feed
82 using echolocation signals²⁰ that can be heard by killer whales. They forage alone or in
83 groups and only vocalise when deeper than 200-500m in deep dives²¹. At these depths
84 they are safe from predation because the short dives of killer whales are insufficient to
85 subdue a beaked whale at depth. However, beaked whales are vulnerable to attack
86 when they surface to breathe if killer whales can locate and track them through a dive.
87 Here we show that a finely-tuned combination of collective behaviours and acoustic
88 hiding by beaked whales reduces by >90% their encounter probability with killer
89 whales, regardless of beaked whale group size. In comparison, continuous and
90 uncoordinated group vocalization would lead to near-certain post-detection interception
91 of beaked whales by killer whales. We generalise these results to model the general
92 principles of abatement of acoustically mediated predation risk by any vocal prey (Box
93 1), showing that vocal animals can benefit from aggregation while avoiding the penalty
94 of increased acoustic detectability in larger groups.

95

96 **RESULTS**

97 **The killer whale-beaked whale acoustic predator-prey system**

98 In predator-prey systems, the temporal and spatial availability of prey cues are key
99 factors influencing detection rate of prey by predators. Here, vocal and diving
100 behaviour data from 27 Cuvier's and Blainville's beaked whales obtained with suction-
101 cup attached sound and movement recording tags (DTAGs²²) (SI) are used to
102 investigate how group size influences beaked whale cue rate and spatial footprint and
103 thus detection probability by killer whales.

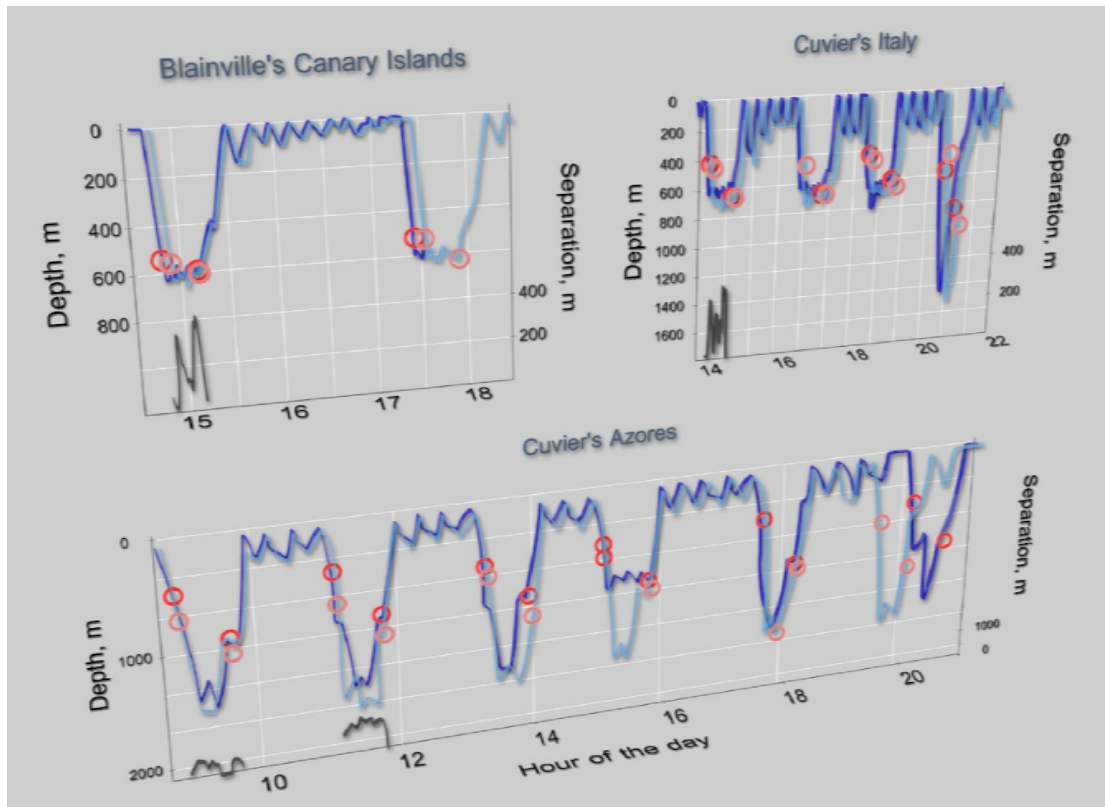
104 Beaked whales are coined extreme divers because they perform stereotyped diving
105 cycles day and night comprising a deep and long foraging dive with maximum duration

106 and length of 2 hrs and 3 km (Cuvier's beaked whale), followed by a series of shorter
107 and shallower recovery dives separated by brief (mode~2.5min) surface intervals to
108 breath²³⁻²⁵. Individual beaked whales are vocal on average 18%-20% of their time, for
109 echolocation and occasional social signalling during deep foraging dives^{21,26}. Beaked
110 whales are typically found at the surface in tight groups although these groups lack
111 long-term stability. We tagged pairs of whales in the same social group in three
112 instances finding remarkable activity synchronization within these three whale pairs
113 (Figure 1 and SI Table 1). While animals were within a group, the most coordinated
114 deep dives (defined as the two deep dives with closest start time performed by the two
115 whales in each whale pair) overlapped on average for 99% of dive duration (SD 0.3%).
116 The vocalisation phase of such dives overlapped in time by 98% (SD 4%). The most
117 coordinated shallow dives overlapped by a mean of 97% (SD 2.4%). A randomization
118 test showed that in 100% of 4000 iterations the observed dive-profiles rendered a
119 higher overlap of dives than simulated data obtained by random permutation of the dive
120 cycles of one of the whales of the pair (SI). Real overlap exceeded random overlap by
121 an average of 44% (SD 24%) of the time in both deep and shallow dives, and by 63%
122 (SD 31%) of the vocal phase time (SI).

123 Similar group vocal coordination was observed in an additional dataset of 54 deep
124 vocal dives from 12 whales tagged separately in different groups. The mean duration of
125 the vocal phase in these dives was 25 minutes. The time-delay of start/end of clicking
126 between the tagged whale and any conspecific whale within acoustic range of the tag
127 differed by just 1.8 min (SD 1.5, start of clicking) and 0.9 min (SD 1, end of clicking)
128 (Supp. Table 2). These results for single tagged whales in groups from 2 to 6 whales
129 are consistent with the observed 98% overlap in the vocal phase of dives performed by
130 paired tagged whales (SI Table 1).

131 Adding the mean observed offset in clicking timing of group members to the mean
132 duration of the vocal phase of tagged whales results in a mean of 27.7 min of group
133 vocal activity per dive. Thus, considering the mean dive cycle duration of 120-140
134 min^{23,26}, groups of whales are acoustically available for detection some 20-22% of their
135 time. This is only slightly longer than the 18-20% of time that individual whales within
136 a group are available for acoustic detection^{21,26}, meaning that the proportion of time that
137 beaked whales are available for passive acoustic detection by killer whales is almost
138 independent of group size. In comparison, a randomization test simulating a signalling
139 channel with activity slots that can be accessed by one or more whales at random
140 predicts an approximately Gaussian distribution for the time that 6 asynchronous
141 beaked whales would be available for acoustic detection. The mean of this distribution
142 is 69%, i.e. more than three times longer than the observed 22% of the time that a
143 group of six beaked whales is vocally active, showing how vocal coordination can
144 reduce the time that animals are available for predator detection.

145



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147

148 Fig. 1: Dive profiles of three pairs of whales tagged in the same social group, showing
149 in light and dark blue the dives of each whale of each pair. A) Two Blainville's beaked
150 whales in the Canary Islands; B) and C) Two Cuvier's beaked whales tagged in Italy
151 and Azores, respectively. The group in the Azores was observed to split after the 5th
152 deep dive and there is no further diving coordination after the split. The circles mark
153 the start and end of the vocal phase of each animal in the dives. The black lines at the
154 base of the dives indicate the separation distance between animals in a pair during the
155 vocal phase of these dives.

156

157

158 Animals with highly synchronized vocal activity will reduce the time availability of
159 their acoustic cues to potential predators, but this may happen at the cost of increasing
160 spatial availability. This depends on the vocal duty cycle of the animals, i.e. the
161 proportion of time that animals are signalling within a vocal period, and thus the
162 probability of signal overlap. The detection range of acoustic cues increases when cues
163 overlap in time and their power sums, (e.g. chorusing frogs¹). However, the probability
164 of vocal cue overlap in beaked whales is extremely low even when individuals in social

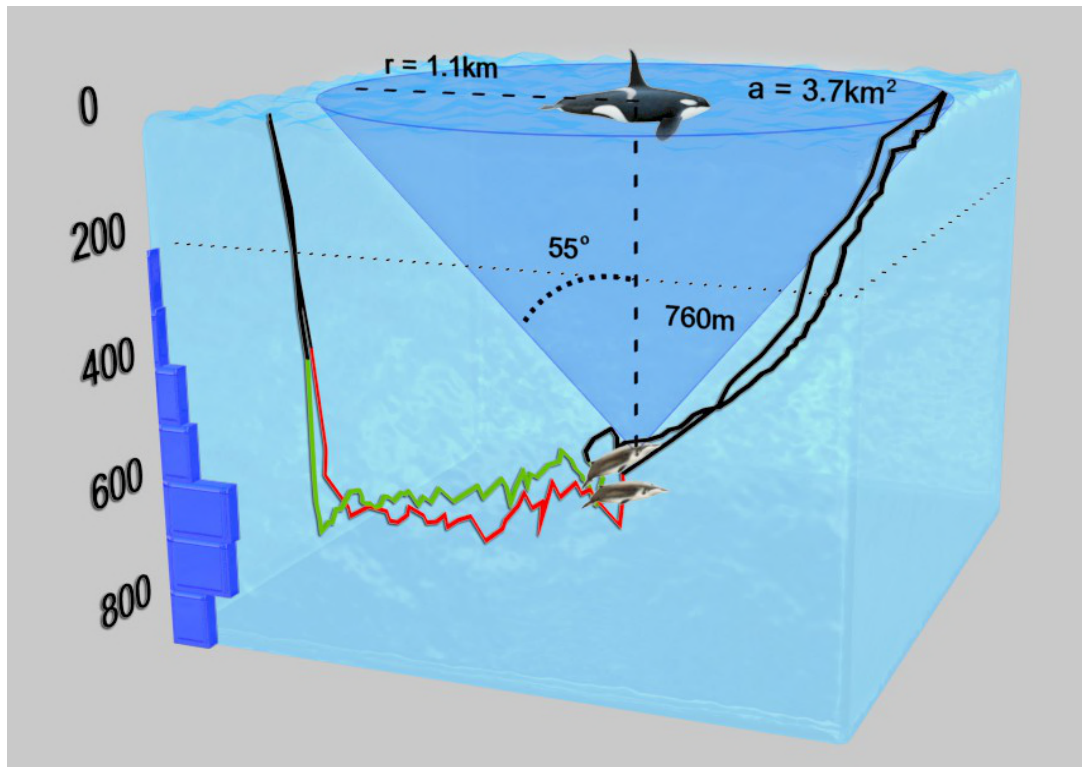
165 groups synchronize the vocal phase of their dives. This is because apart from rare short
166 whistles²¹, beaked whales only produce short (~200 μ s) echolocation clicks with a
167 mean duty cycle of 0.0007²¹. Moreover, the volume of water ensonified by the highly
168 directional clicks of beaked whales²⁷⁻²⁸ increases negligibly in groups. This is because
169 beaked whales diving in tight coordination show a similar circular distribution of the
170 pointing angle of their clicks within a dive, (i.e. they ensonify a similar restricted sector
171 of the circle) (SI).

172 Inter-animal separation also influences cue spatial availability. Groups cannot be
173 considered an acoustic point source when they disperse. We calculated the separation
174 between pairs of beaked whales tagged simultaneously in the same group using an
175 acoustic travel-time method (SI). Whales were as close as 11 m when they began
176 echolocating at a mean depth of 450 m. They then separated by up to 1500 m while
177 hunting but re-joined at the end of the vocal phase to as close as 28 m before initiating
178 the silent ascent from a mean depth of 760 m (Figure 1). Taken together, the whale
179 pairs spent 95% of the vocal phase less than 500 m apart. Considering an individual on-
180 axis maximum detection range of 6.5km^{29,30}, and the typical 90° coverage of clicks
181 within a dive, the separation of 0.5 km between beaked whales in a group means an
182 increase in the detection area for surface-dwelling killer whales of 16% of a group
183 compared to a single beaked whale.

184 In sum, the collective diving and vocal behaviour of beaked whales reduces cue time
185 availability by 40% and increases detection footprint by just 16% while still allowing
186 animals to disperse to hunt. This increase in spatial detectability given by group
187 dispersal occurs when beaked whales are at depths that provide them a refuge from
188 shallow diving killer whales. However, diving beaked whales are susceptible to
189 acoustic stalking in which killer whales track them acoustically and then attack when

190 they leave their deep-water refuge during obligate surfacing for air. Here, the collective
191 behaviour of beaked whales is key to foil stalking predators. By coordinating their
192 dives, groups of diving beaked whales are released from a “surface anchor” that would
193 be maintained by the need to re-join with non-diving group members and thus frees
194 groups to choose where to surface from dives. Most deep-diving whales ascend steeply
195 to minimize transport time and hence maximize foraging time at depth^{31,32}, however,
196 this behaviour leads to a high encounter probability with killer whales stalking
197 acoustically from the surface. In contrast, both Cuvier’s and Blainville’s beaked whales
198 manoeuvre in a way that confounds surface predators when they ascend to breathe.
199 These whales silence at an average depth of 760 m and ascend towards the surface with
200 an unpredictable heading and a shallow average pitch angle of 35° with respect to the
201 horizontal^{23,33}. This unusual behaviour for an air-breathing mammal creates an
202 uncertainty cone for the position of beaked whales while they ascend in silence. The
203 resulting potential surfacing area is a circle of 3.7 km² (~1.1 km radius) centred on the
204 position of the last click emitted by diving beaked whales (Fig 2 and SI).

205



206

207 Figure 2: Post-detection encounter probability is <10% for killer whales acoustically
208 stalking beaked whales due to the uncertainty in their surfacing location following long
209 silent ascents. The coloured lines in the dive profiles of two beaked whales diving in
210 coordination represent the vocal phase of these dives. The histogram is the depth
211 distribution of the clicks of beaked whales (truncated to 900 m), showing that they are
212 silent at the depths to which killer whales usually dive (marked as a dotted line at 200
213 m depth).
214

215 A pod of killer whales that has tracked acoustically deep diving beaked whales could
216 potentially dive to hunt the beaked whales at depth. However, this does not seem
217 feasible given the protracted and intense pack hunting effort required for killer whales
218 to subdue cetaceans at the surface^{13,19}, and the restricted 10 min duration of killer whale
219 dives³⁴. Thus, killer whales need to wait for beaked whales to be at or near the surface
220 to hunt them. Killer whales are unlikely to use echolocation to track beaked whales to
221 avoid alerting them and elicit avoidance responses^{35,36}. This means that killer whales
222 must search visually the uncertainty surfacing area of beaked whales in the short time
223 that beaked whales spend at the surface after a vocal dive, before they dive again. Both
224 Cuvier's and Blainville's beaked whales spend a median of 2.5 min at the surface after

225 a dive and this short surfacing is typically followed by a relatively shallow and tightly
226 coordinated silent dive of up to 400 m depth and 25 min duration²³ in which beaked
227 whales can again move hundreds of metres horizontally. Assuming a usual swimming
228 speed of killer whales of 2 m/s³⁷ and a visual detection range of some 50 m underwater,
229 an individual killer whale can cover visually only some 0.6% of the potential surfacing
230 area of beaked whales during the 2.5 min that beaked whales are at the surface.
231 Encounter probability increases with killer whale pack size: usual pack size of mammal
232 eating killer whales is 3-4 whales, but up to 12 whales have been observed¹⁹. Killer
233 whales in large packs and perfectly coordinated to not overlap in search area could
234 cover some 7% of the potential surfacing area of beaked whales.

235 Thus, the coordinated movement and acoustic hiding behaviour of Cuvier's and
236 Blainville's beaked whales results in a maximum probability of interception by stalking
237 predators of 7% irrespective of group size, i.e., a reduction of >90% when compared to
238 the high interception probability for animals that ascend vertically and/or vocalise
239 during the ascent. The unpredictable ascent of beaked whales is only possible due to
240 their coordinated diving behaviour.

241

242 **DISCUSSION**

243 Beaked whales exemplify a widespread strategy of vocal animals: to broadcast when
244 predators are not detected or when in a safe place with limited predator access (e.g. in
245 the case of beaked whales, deep waters are safe from killer whale attacks), and silence
246 (i.e. hide acoustically) when compelled to leave the refuge or when predators are
247 detected. These behaviours are observed in avian nestlings, as well as in chorusing
248 insects and frogs, that silence in response to alarm calls or predator approaches^{39,40}.
249 Another important commonality among beaked whales and other vocal species is that

250 long-range broadcasting is necessary to achieve the biological functions of
251 echolocation and many communication signals¹. For all vocal prey, there is a clear
252 evolutionary bonus in reducing predation risk while fulfilling these biological
253 functions.

254 The results of this paper show that the detectability of beaked whales for their main
255 natural predator, the killer whale, is very similar for individuals and groups. Tagged
256 beaked whales emitted on average 41% (~1500 clicks) of the clicks produced in a dive
257 while the whales were oriented towards the sea surface, at an average rate of 68 (SD
258 22) upward clicks per min of the vocal phase. This means that killer whales crossing
259 the acoustic footprint of beaked whales at slow speeds of less than 2 m/s³⁸ have a high
260 probability of detecting a single vocalising beaked whale when passing by the
261 ensonified area, and thus additional clicks from several vocal whales with collective
262 vocal behaviour may be redundant for group location. In contrast, vocal group size will
263 likely influence beaked whale detection probability from non-natural receivers passing
264 at faster speeds, such as ships with hydrophone systems. Natural predators such as
265 killer have limited capacity to swim faster for protracted times to increase their search
266 area, but they would improve their encounter rate of beaked whales by increasing group
267 size and spreading out while performing area restricted search of detected beaked
268 whales. In fact, killer whale groups attacking beaked whales are larger than groups
269 attacking other marine mammals¹⁹, indicating that cooperative searching is one way
270 that killer whales can combat the abatement tactics of beaked whales.

271 In addition to predator defence, coordinated diving may provide additional benefits to
272 beaked whales. An advantage could be sharing information⁴¹ via eavesdropping on the
273 foraging activity of group members as has been observed in echolocating bats⁴². Coarse
274 level local enhancement is important when groups forage in patchy resources and

275 beaked whales may be attracted to richer patches indicated by the acoustically
276 determined prey encounter rate of their group members. However, we show here that
277 beaked whales do not appear to forage cooperatively regularly, because individuals
278 disperse several hundreds of metres during the echolocation phase of the dive.
279 Simultaneous diving in absence of coordinated foraging has been observed in other air-
280 breathing vertebrates, such as penguins⁴³, where this collective behaviour provides a
281 further example of the benefit of aggregation to dilute predation risk.

282 The extraordinary collective behaviour of beaked whales and its clear benefits for
283 predation risk abatement led us to generalise the results by constructing a quantitative
284 model of the parameters influencing acoustic predation risk abatement. The
285 opportunities and strategies available for vocal animals to abate acoustically mediated
286 predation risk depend on the functions and characteristics of their vocalizations, the
287 acoustic transmission properties of the medium, and the movement patterns and group
288 behaviours associated with sound production. In Box 1 we present a general model that
289 demonstrates how vocal group size affects predation risk for any vocal animal in
290 terrestrial and marine environments.

291 The model in Box 1 illustrates that low duty cycle animals that call asynchronously
292 such as echolocators strongly reduce their predation risk in terms of reduced
293 detectability by aggregating. In contrast, aggregated animals vocalizing with high time
294 overlap (whether because of a high duty cycle or precise synchronization) do not
295 reduce detectability when transmitting in environments in which sound spreads
296 spherically such that signals decrease in intensity with the inverse of distance-squared.
297 Further, they incur an enhanced detectability when vocalising in conditions of
298 cylindrical spreading (i.e., in which signals decrease with the inverse of distance, such
299 as in shallow water or temperature inversions⁴⁴). These cases of geometrical spreading

300 and animal vocal synchronicity frame a range of potential intermediate scenarios in
301 nature. Thus, the model summarises the main parameters influencing the strategies
302 available to abate acoustically mediated predation risk for any gregarious vocal prey.
303 These parameters are activity synchronization, vocal time-overlap, group aggregation
304 and habitat sound transmitting properties.

305 We have presented scenarios encompassing a range of potential outcomes of animal
306 behaviour and habitat characteristics on the active acoustic space of vocal fauna. In an
307 extreme (but not far-fetched) case we predict that there is little difference between the
308 acoustic detectability of a single individual and of a tight group of animals with
309 synchronous vocal periods but no overlap in vocalizations; the killer whale-beaked
310 whale predator-prey system exemplifies this strategy. In contrast, detection range is
311 amplified by increasing time-overlap of calls and vocal group cohesion in habitats
312 where geometric spreading loss tends towards cylindrical models. Increased predation
313 risk may be a necessary cost of the fitness advantages provided by long-range vocal
314 signalling, but observation of inheritable behavioural tactics reducing predation risk in
315 obligate sound producers⁴⁵ underlines the importance of reducing the risk of detection
316 in the evolution of animal vocal behaviour.

317

318 **BOX 1:**

319 **General principles of prey behaviour for abatement of acoustic predation risk**

320 In acoustic predator-prey interactions, prey detection by predators is a probabilistic
321 function of the proportion of time in which acoustic cues of prey are available to
322 predators (T), and of the spatial footprint of these cues (S). Animal groups can reduce T
323 by synchronizing individual periods of vocal activity. This tactic, observed here in
324 beaked whales, is also exemplified by choruses. An additional benefit of this strategy is

325 the possibility to concentrate vocal activity to periods in which predators are absent or
326 prey are in locations safer from predators. A cost of synchronising general vocal
327 activity for predation risk is a higher probability of time overlap of individual calls
328 increasing S , as is the case in choruses^{1,3}. Thus, animals may trade the anti-predator
329 benefits of a reduced T for the predation costs of an increased S . Moreover, animals
330 may use vocal synchronization intentionally to extend S , e.g. chorusing in periods when
331 climatic conditions such as thermal inversion favour reception by intended receivers¹.
332 Surprisingly, a larger S may not linearly increase predation risk in some cases, e.g.
333 frog-eating bats respond less to synchronous than asynchronous frog calls⁴⁶. This might
334 be explained by the confusion effect of simultaneous signalling frogs making it difficult
335 for bats to resolve the angle of arrival of individual calls and locate the emitter. In these
336 cases, prey benefit from reducing the time they are available for detection by predators,
337 without paying the full cost of an increased detection footprint.

338 The effect of vocal group size on S varies for different animals and habitats. Here we
339 derive a simplified general model applicable to any vocal species to investigate the
340 effect of vocal group size on acoustic detectability. For a group of n vocal individuals,
341 we term n_s as the number of individuals with synchronized, time-overlapping, vocal
342 cues. The model is derived for two vocal strategies: asynchrony of vocalizations of
343 individual group members (i.e., stochastic channel access), and full time-overlap of
344 individual vocalizations. Denoting individual duty cycle as d , the vocal strategy
345 modulates n_s as follows:

$$346 \quad n_s = \begin{cases} n & \text{for full vocal time – overlap} \\ d * n & \text{for complete vocal asynchrony} \end{cases}$$

347 The effect of increased n_s on S depends on the acoustic transmission loss (TL) in the
348 broadcasting habitat and on the geometry of the detection footprint. TL is dominated by

349 geometric spreading loss and other attenuation effects of sound energy, such as
350 absorption and scattering¹. Absorption is most relevant at high frequencies⁴⁴ although in
351 terrestrial habitats vegetation acts as a band pass filter⁴⁷. Because absorption and other
352 sound attenuation effects, but not geometric spreading loss, are frequency
353 dependant^{1,44,47}, here we construct a simple model applicable to all signals and habitats,
354 to investigate the relative effect of group size on detectability under different types of
355 geometric spreading transmission loss (TL) and summarise the effects of absorption as
356 a multiplicative (additive in Decibels) term a (SI). Geometric TL fits or is intermediate
357 between cylindrical and spherical models in most habitats, i.e., TL (Decibels) \sim
358 $G \cdot \log_{10}(r) + a$, where G equals 10 and 20 for cylindrical and spherical loss,
359 respectively^{1,44}. A general relation between the maximum detection range of a group,
360 r_{group} , and an individual, r_{ind} , is the following (derivation in SI):

$$361 \quad R \triangleq \frac{r_{\text{group}}}{r_{\text{ind}}} = a * n_s^{10/G}$$

362 Modelling the widespread and simplified case of a circular detection area results in the
363 following relations among R , S and n_s for different sound transmitting habitats within
364 the extremes of spherical and cylindrical spreading loss (SI). Here, R and S are the ratio
365 of group maximum detection range and acoustic footprint, respectively, with respect to
366 the values of these parameters for an individual:

$$367 \quad \text{Spherical spreading loss} \begin{cases} R = \sqrt{n_s} * a \\ S = n_s * a \end{cases}$$

$$368 \quad \text{Cylindrical spreading loss} \begin{cases} R = n_s * a \\ S = n_s^2 * a \end{cases}$$

369 From the above we see that S depends on n_s^g , where $g=1$ in spherical transmission loss
370 and $g=2$ in cylindrical transmission loss, with intermediate values for other types of
371 geometric spreading loss.

372 An additional parameter influencing S is the dispersion of vocal animals. Tight groups,
 373 where the separation among animals is negligible with respect to their individual
 374 detection range, function as an acoustic point source. As individuals disperse they
 375 enlarge the active space of the group, to the extreme that the acoustic space of a
 376 dispersed group with no overlap in the acoustic space of its members is the sum of the
 377 acoustic space of all vocal group members. Here we define parameters s_{ind} as the
 378 acoustic footprint of an individual; S_{ga} is the acoustic footprint of a group of closely
 379 aggregated animals; and S_{gd} is the acoustic footprint of dispersed animals. The
 380 combined effects of aggregation and of vocal duty cycle (which influences the
 381 probability of signal overlap and thus SL) determine S . This in turn defines the benefit
 382 of aggregation for predation risk abatement, defined as $B=S_{gd}/S_{ga}$, for groups of animals
 383 with different group size, vocal strategies and vocalising in different habitats, as
 384 follows:

$$385 \quad \text{Low duty cycle, asynchronous} \left\{ \begin{array}{l} S_{gd} = s_{ind} * n_s \\ S_{ga} = s_{ind} \\ B = n_s \end{array} \right.$$

386

$$387 \quad \text{High duty cycle / time overlap} \left\{ \begin{array}{l} S_{gd} = s_{ind} * n_s \\ S_{ga} = s_{ind} * n_s^g \\ B = \frac{n_s}{n_s^g} = n_s^{1-g} \end{array} \right. \rightarrow \left\{ \begin{array}{l} B \approx 1 \text{ spherical spreading} \\ B \approx 1/n_s \text{ cylindrical spreading} \end{array} \right.$$

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503

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510 **Supplementary Information:**

511 Tables S1-S2

512 Experimental Procedures

513 Figure S1

514 Video S1

515

516 **Author contributions.** NAS, MJ, PM, FV, PA performed the experiments, JA
517 contributed to the data analysis. NAS and MJ wrote the manuscript with contributions
518 from all authors.

519 **SUPPLEMENTARY INFORMATION**

520 **Supplementary Table 1.** Dive coordination of the three pairs of whales tagged
 521 simultaneously in the same social group. Md: Blainville’s beaked whales, *Mesoplodon*
 522 *densirostris* tagged off El Hierro, Canary Islands; Zc Genoa: Cuvier’s beaked whales,
 523 *Ziphius cavirostris*, tagged in the Ligurian Sea (Italy); Zc Azores: Cuvier’s beaked
 524 whales tagged off the Azores. Information is pooled for the dive pairs (i.e., the two
 525 dives with closest start time performed by the two whales of the pair) performed by
 526 each whale pair: Max. depth and Depth diff.: mean of the maximum depth of the two
 527 dives of each dive pair and difference in the maximum depths of the dives within each
 528 dive pair (m). Dur. and Dur. diff.: mean duration of, and mean difference in, the
 529 duration of the two dives of each dive pair (min). Time overlap: mean of the proportion
 530 of time that the two dives in dive pairs overlap with respect to the duration of each one
 531 of these dives. Vocal overlap: mean of the proportion of time that the vocal phase of the
 532 two dives in dive pairs overlap with respect to the duration of the vocal phase of each
 533 one of these dives. All data are expressed as mean (range) pooling the results of all dive
 534 pairs for each whale pair.

Whale pair	Dive pairs	Max. depth (m)	Depth diff (m)	Dur (min)	Dur diff (min)	Time overlap (%)	Vocal overlap (%)
Md Hierro	Deep n=1	639	16	46	2	99	98
	Shallow n=6	56 (37-108)	8 (2-13)	11 (9-14)	0.7 (0.5-1.2)	93 (91-96)	-
Zc Geneva	Deep n=4	954 (724-1600)	49 (6-135)	55 (48-64)	0.2 (0.2)	100 (99-100)	95 (90-100)
	Shallow n=11	173 (114-275)	21 (6-42)	17 (15-20)	0.3 (0.1-1)	98 (94-100)	-
Zc Azores (all dives)	Deep n=6	1568 (1296-1670)	229 (89-769)	61 (58-62)	0.9 (0.1-4)	88 (29-100)	83 (0-100)
	Shallow n=15	162 (142-189)	39 (22-61)	19 (16-22)	0.6 (0.2-0.8)	97 (95-99)	-
Zc Azores (pre-group split)	Deep n=5	1544 (1296-1669)	287 (89-769)	61 (60-62)	0.3 (0.1-0.6)	100 (100-100)	100 (100-100)
	Shallow n=12	166 (142-189)	39 (22-61)	19 (16-22)	0.6 (0.2-0.8)	97 (95-98)	-

535 **Supplementary Table 2.** Difference in the timing of start and end of clicking (SOC
 536 and EOC, respectively) between tagged Blainville's beaked whales and any untagged
 537 whale within acoustic range of the tags. Results are provided in minutes and expressed
 538 as the mean (std) for each tag deployment. The name of the tag deployment is codified
 539 with the two last digits of the year, the Julian day of the deployment and a letter
 540 indicating the consecutive tag order of the day. In some cases, clicks from other
 541 animals could not be assessed due to elevated background noise (primarily flow noise
 542 on tags located posteriorly in the whale) or EOC could not be assessed because the tag
 543 released before the end of the dive; in these cases the number of dives used for analysis
 544 is reported in brackets.

Whale	# vocal dives	Duration vocal phase	Time-diff SOC	Time-diff EOC
Md03 284a	6	26.23 (4.9)	2.31 (1.21)	0.75 (1.34)
Md03 298a	2	24.79 (3.07)	0.05 (0.06)	0.35 (0.14)
Md04 287a	4	27.51 (4.22)	0.65 (0.8)	0.23 (0.21)
Md05 277a	3	25.38 (3.24)	2.03 (0.31)	1.06 (0.59)
Md05 285a	4	25.11 (2.18)	2.5 (1.63)	0.99 (1.17)
Md05 294a	2 (1)	21.04	0.43 (0.38)	0.09
Md05 294b	4	20.87 (2.4)	1.87 (1.71)	0.67 (0.36)
Md08 136a	2	24.32 (3.33)	0.73 (0.32)	0.39 (0.16)
Md08_137a	8	27.95 (5.87)	5.9 (4.74)	1.42 (0.78)
Md08 142a	2 (1)	20.42	1.82 (0.66)	0.26
Md08 148a	2 (1)	27.18 (7.74)	1.53	4 (4.5)
Md08 289a	7	26.18 (9.11)	1.82 (1.33)	0.73 (0.49)
Md10 146a	1	21.85	1.48	0.81
Md10 163a	7	20.5 (4.67)	0.75 (0.79)	0.2 (0.18)

545

546 **Supplementary experimental procedures**

547 Data collection

548 Beaked whales were studied using suction-cup attached DTAGs¹⁶ containing depth and
 549 orientation sensors (3-axis accelerometers and magnetometers) sampled at 50 or 200
 550 Hz and two hydrophones sampled at 96, 192, or 240 kHz. Blainville's beaked whales
 551 (*Mesoplodon densirostris*, n=14), were tagged off El Hierro (Canary Islands, Spain,

552 see¹⁵); Cuvier's beaked whales (*Ziphius cavirostris*) were tagged in the Gulf of Genoa
553 (Ligurian Sea, Italy, see¹⁷), n=10, and off Terceira (Azores, Portugal, with similar SI as
554 used in El Hierro), n=2. In all cases whales were approached slowly from a small boat
555 and the tag was deployed on the back of the whales with the aid of a handheld pole.
556 Tags were located for recovery using VHF tracking after their programmed release
557 from the whales.

558

559 Tag data analysis

560 Tag data were analysed in Matlab (*Mathworks*). Depth and whale movement data were
561 calibrated with standard procedures¹⁶. Sound recordings were examined with custom
562 tools from the DTAG toolbox (www.soundtags.org) to identify vocalizations of the
563 whales. Vocalizations comprised echolocation clicks and buzzes²², as well as rasps and
564 rarely whistles with an apparent communication function¹⁵. Echolocation clicks were
565 located individually with the aid of a supervised click detector²².

566 Cuvier's and Blainville's beaked whales perform deep and long foraging dives (deeper
567 than 500m¹⁷) interspersed with series of shallow dives defined as dives between 20 and
568 500 m depth¹⁷. Surfacing intervals separating consecutive dives (both deep and
569 shallow) were measured in the depth profiles. Results were analysed per individual and
570 then averaged for each species. When two whales were tagged simultaneously in the
571 same group (see below), we only used data from the first tag deployment of the pair.
572 Surface intervals lasted on average 2.5 min (std 0.6) and 2.6 min (std 1.3) for Cuvier's
573 and Blainville's beaked whales, respectively (mean of the median duration of the
574 surface intervals performed by each whale, grouped by species).

575

576 *Diving and vocal coordination*

577 Groups of beaked whales were defined as clusters of whales observed together at the
578 surface. No inferences were made about short or long-term group stability. Whales in
579 these clusters were most often observed to surface together for the duration of the
580 visual follow. In three occasions (one per field site) we tagged two whales in the same
581 social group. Tag deployments on the two members of each of these three whale-pairs
582 overlapped in time during 3, 9 and 12 hours, respectively; the 6 whales forming these
583 whale-pairs performed in total 22 deep and 64 shallow dives (SI Table 1).

584 Dive coordination of the whales in whale-pairs was assessed by comparing timing and
585 depth of the most coordinated dives performed by the two members of each whale-pair.
586 These coordinated dive-pairs were defined as the dives with closest start time
587 performed by the two whales of each whale-pair. The analysis was performed
588 separately for deep vocal dives (deeper than 500 m maximum depth) and shallower
589 non-echolocating dives¹⁷. For the resulting dive-pairs we calculated the time overlap of
590 the dives, as well as the overlap in the vocal phase of vocal (deep) dives. Differences in
591 duration and maximum depth between the dives in each dive-pair were recorded also.
592 Results were pooled for each whale-pair (SI Table 1) and then for the three whale-pairs
593 given the close similarity in results between study areas and species and the small
594 sample size of Blainville's beaked whales (all but one dive-pairs were recorded from
595 Cuvier's beaked whales).

596 The group of Cuvier's beaked whales tagged in the Azores was followed by the
597 research boat and observed at a distance during surfacing intervals to monitor group
598 composition via individual photo-identification. Analysis of photographic data showed
599 that the four animals forming the group at the time of tagging continued to surface in
600 close vicinity until some 9.5 hrs after tag deployment. After this, two of the four
601 whales, including one of the tagged whales, were no longer observed in the group. The

602 analysis of dive coordination of this Azorean whale-pair was performed both for the
603 full duration of the double tag deployment and for the time before the group split (SI
604 Table 1).

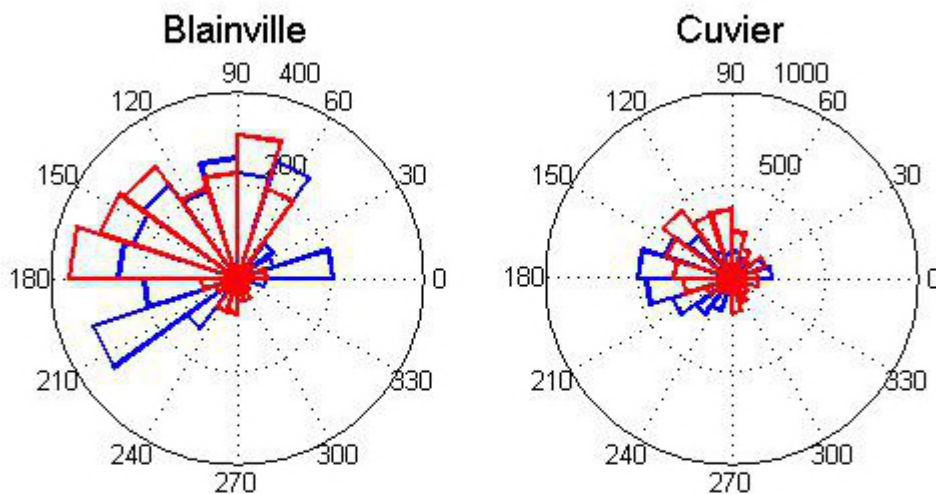
605 A randomization test was performed to estimate the likelihood of the observed overlap
606 of dive-pairs occurring by chance. For each whale-pair we compared the overlap in
607 observed dive-pairs, against the overlap in simulated dive profiles. Simulations were
608 constructed for each whale-pair using the recorded dive profile of the first tagged
609 whale, and randomly permuted dives from the dive profile of the second tagged
610 whale. The analysis was performed separately for deep and shallow dives, and for the
611 vocal phase of deep dives, with 1000 randomizations for each case. For deep dives, the
612 permutation unit was a deep dive cycle comprising a deep dive and the following inter-
613 dive interval, i.e. the period of shallow diving before the next deep dive^{6,7}. For shallow
614 dives, the permutation unit comprised a shallow dive and its following inter-dive
615 interval (i.e., until the next dive, shallow or deep). The randomization test was not
616 applied to the pair of Blainville's beaked whales because these whales only shared one
617 full deep-dive cycle, nor to the time after the Azorean group split.

618 The *separation distance* between whales in each whale-pair was estimated during the
619 vocal phase of tagged whales. This was achieved by measuring the time delay between
620 the emission of a click by a tagged whale and the reception of the same click on the tag
621 carried by the other whale in the pair. Comparison of time delays for clicks produced
622 by each of the two whales allowed for estimation of the clock offset between the two
623 tags. Clock offset was subtracted from the measured time delays to give the acoustic
624 time of flight which was then converted to distance by multiplying by the path-
625 integrated sound speed, using custom scripts from the dtag-toolbox
626 (www.soundtags.org, M. Johnson). Depth profiles of sound speed for each location

627 were used together with the known depths of each animal to derive the path-integrated
628 sound speed for each click. Sound speed profiles were gathered from CTD (RBR Ltd.
629 and Sea-bird Scientific Inc.) deployments performed at El Hierro and the Ligurian Sea
630 at the time of tagging, and from the AZODC database for Azores
631 (<http://oceano.horta.uac.pt/azodc/oceatlas.php>) in a relatively close area and season of
632 the year with respect to the tagging event.

633

634 Paired tagged whales click directionality: Because echolocation clicks are highly
635 directional, group size could increase detectability if whales ensonify their
636 surroundings at random. We performed a circular analysis of the heading of the whales
637 while producing clicks shows that whales in a group tend to ensonify a very similar
638 circular sector within each dive (SI Fig. 1)



639 Figure S1: Example of the circular distribution of the heading of the whales while
640 producing clicks in one dive. Each rose shows the results for a pair of whales tagged in
641 the same social group (in red and blue for the two members of the whale pair)
642 performing near-simultaneous dives.

643

644 Calculation of search surface area for killer whales

645 Tagged beaked whales ended clicking on average at 760 m depth and ascended with a
646 mean pitch angle of 35° with respect to the horizontal²⁷, i.e. 55° with respect to the
647 vertical. This renders a maximum surfacing area described by the base of a cone with
648 height equal to the depth of the whale at the time of silencing and a half internal angle
649 of $\alpha = 55^\circ$. This potential surfacing circle has a radius $r = 1085$ m ($r = h \cdot \tan(\alpha)$) and an
650 area $a = 3.7$ km² ($a = \pi \cdot r^2$). These are maximum values if whales maintain a constant
651 heading during the dive ascent. Previous analysis¹⁷ have shown that Cuvier's and
652 Blainville's beaked whales adopt a fairly constant heading during ascents, covering
653 consistently more than 50% of the maximum horizontal distance assuming a constant
654 heading, and more than 80% of the maximum distance in 55% of the dives¹⁷. It is
655 possible that beaked whales modulate the horizontal distance covered during ascents
656 according to the distribution of foraging resources and to the presence of predators or
657 other potential disturbing stimuli, such as ships³⁸ or delphinids, which have been
658 observed to harass beaked whales (Ana Cañadas, pers.com).

659

660 General acoustic model formulae derivation

661 In all acoustic detectors, a requisite for detection is that the signal to noise ratio, i.e. the
662 source level (SL) minus the noise level in the area (NL) minus the transmission loss
663 (TL), equals or exceeds a given required detection threshold (DT):

664

$$665 \quad SL - NL - TL \geq DT$$

666

667 TL can be simplified as the sum of geometrical spreading with coefficient G ³⁵ and
668 absorption, considering an absorption coefficient α and a maximum detection range r ,

669 as follows:

$$670 \quad TL = G * \log_{10}(r) + \alpha * r \quad \begin{cases} G = 10 \text{ cylindrical spreading} \\ G = 20 \text{ spherical spreading} \end{cases}$$

671

672 The SL of a group of n_s vocally overlapping individuals relates to individual SL as:

673

$$674 \quad SL_{group} = SL_{ind} + 10 * \log_{10}(n_s)$$

675

676 Because the DT required by a predator to detect prey does not depend on prey group

677 size we can solve DT for an individual and for a group and equal them as follows:

678

$$679 \quad SL_{ind} - NL - G * \log(r_{ind}) - \alpha * r_{ind} = SL_{ind} + 10 * \log(n_s) - NL - G * \log(r_{group}) - \alpha * r_{group}$$

680 For a given SL_{ind} and NL we can simplify the equation above by dividing by G all

681 elements and expressing them as logarithms to solve the relation R between maximum

682 detection range for a group and an individual, as follows:

683

$$684 \quad G * \log_{10}(r_{ind}) + \alpha * r_{ind} = G * \log_{10}(r_{group}) + \alpha * r_{group} - 10 * \log_{10}(n_s)$$

$$685 \quad \log_{10}(r_{group}) - \log_{10}(r_{ind}) + \frac{\alpha}{G} * r_{group} - \frac{\alpha}{G} * r_{ind} = \frac{10}{G} * \log_{10}(n_s)$$

$$686 \quad \log_{10}(r_{group}) - \log_{10}(r_{ind}) + \log_{10}\left(10^{\left(\frac{\alpha}{G} * (r_{group} - r_{ind})\right)}\right) = \log_{10}(n_s^{10/G})$$

$$687 \quad \frac{r_{group}}{r_{ind}} * 10^{\frac{\alpha}{G} * (r_{group} - r_{ind})} = n_s^{10/G}$$

$$688 \quad \text{For } R = \frac{r_{group}}{r_{ind}} \rightarrow R * 10^{\frac{\alpha}{G} * r_i * (R-1)} = n_s^{10/G}$$

$$689 \quad R = n_s^{10/G} * 10^{-\frac{\alpha}{G} * r_i * (R-1)}$$

690 We will term the effects of absorption a , so that: $R = n^{10/G} * a$

691

692 In many cases the receiver is constrained to a 2-dimensional search surface (e.g.,

693 shallow water predators eavesdropping on a deep-water caller, or terrestrial animals

694 searching for prey on the ground) and this renders a circular detection area. This results

695 in the following relations between the maximum range (R) and area (S) of detection of

696 a group of n_s overlapping vocal animals with respect to an individual, for different

697 sound transmitting habitats within the extremes of spherical and cylindrical spreading

698 loss:

699

700
$$\text{Spherical spreading loss} \begin{cases} R = \sqrt{n_s} * a \\ S = n_s * a \end{cases}$$

$$\text{Cylindrical spreading loss} \begin{cases} R = n_s * a \\ S = n_s^2 * a \end{cases}$$

701

702

703 **Supplementary video 1:** Two-dimensional animation of the dive profile of two
704 Blainville's beaked whales tagged in the same group, in blue and black, showing the
705 start and end of the vocal phase of the dive of each animal with asterisks. The video
706 evidences the high coordination of the diving and vocal behaviour of the whales. The
707 animation runs 40 times faster than the real data.

708

709 **Supplementary video 2:** Tagging of beaked whales and animation of their diving
710 behaviour including DTAG data on the vocalizations of the whales. Video courtesy of
711 St. Thomas Productions, part of the documentary "Champions of the deep"
712 ([http://www.saint-thomas.net/uk-program-81-marine-mammals-champions-of-the-](http://www.saint-thomas.net/uk-program-81-marine-mammals-champions-of-the-deep.html)
713 [deep.html](http://www.saint-thomas.net/uk-program-81-marine-mammals-champions-of-the-deep.html)).